

# ZL Compliance Manager

Fulfill regulatory requirements and reduce review time by applying sophisticated and customizable lexicon rules to all company data to remove risk of noncompliance and achieve full defensibility.

## PROFILE

### COMPANY

ZL Technologies, Inc.

### HEADQUARTERS

Milpitas, CA

### PRODUCT

ZL Compliance Manager

## SOLUTION SUMMARY

- ZL Compliance Manager allows the customer to perform all aspects of compliance through a single, unified platform
- Compliance with SEC 17a-4, FERC 717, HIPAA, DoD 5015.02, GDPR, and more
- Fully customizable lexicon allows each organization to tailor compliance to their specific needs
- Compatibility with all data types, including email, instant messages, social media and more
- Capabilities like pre-review and a robust global search allow companies to take full control of their compliance workflow
- Full control of corporate file shares enables compliance with upcoming GDPR requirements

Utilized by Fortune 500 companies subject to the strictest regulations, ZL Compliance Manager enables large enterprises to fulfill their regulatory requirements as well as internal corporate governance policies. Certified for SEC 17a-4, FERC 717, HIPAA, DoD 5015.02, GDPR and more, ZL UA enables customers to carry out their compliance workflows while fulfilling their eDiscovery and records management needs, all through one unified solution. Whether deploying on-premise or in the cloud, customers can look forward to utilizing the same ZL UA software, enabling enterprises to address all of their compliance and governance needs in one solution.

## Customize Your Organization's Compliance Policies

The heart of ZL UA's Compliance Module lies in its rules-based architecture, which enables administrators to specify rules for flagging messages for review. These rules can be customized based on a number of criteria, allowing compliance officers to tailor the application to fit their organization's particular needs. Building off of this flexible architecture, ZL UA offers a range of functionalities to address compliance needs, such as:

### ONE REVIEW INBOX

ZL UA's Compliance Module enables a more streamlined compliance workflow by displaying flagged messages of all data types in one review inbox. Supported data types include: emails, instant messages, social media, Blackberry, Bloomberg, e-faxes, and many more.

### CUSTOMIZABLE RULES

ZL UA's Compliance Module allows administrators to target messages for review using customizable rules. These rules may be based upon content, such as keywords and patterns, or various metadata fields, including message size or users. Whereas most solutions offer only limited options for customizing rules, ZL provides customers with the flexibility to modify rules according to their particular circumstances. Whether there is a change in regulatory requirements, corporate policies, or merger and acquisitions from different industries, compliance users can seamlessly adapt to any developments that may arise.

## KEY FEATURES

**UA Journaling System** scans all inbound, outbound, and internal content such as e-mails, instant messages, social media data, Bloomberg, BlackBerry, e-faxes, and more.

**Audit Trails** log every action performed on all data in the archive.

**Auto-Categorization** triggers review actions when scanned messages contain metadata properties or words/phrases that indicate a possible violation.

**Built-In Reports** generate reports relating to compliance actions and electronic data throughout the enterprise.

**Pre-Review** stops messages that violate particularly serious rules from leaving the organization and instead routes them to a review inbox.

**Post-Review** routes copies of flagged messages to a designated review inbox after they are sent.

**User Management** allows access to different components of ZL Compliance Manager based on special roles and privileges.

- **PRE-REVIEW**

ZL UA's Compliance Module provides customers with the ability to stop targeted messages before they are delivered to their final recipient. These messages, which typically contain serious violations, are instead routed to a review inbox for evaluation. Any item flagged for pre-review must first be approved by a reviewer before it can be delivered to its final recipient.

- **GLOBAL SEARCH**

ZL UA provides a number of advanced options for compliance users to perform ad hoc searches. Due to ZL's unified approach to information management, reviewers can search through the entire archive, including e-mails, social media, Bloomberg, Blackberry, and e-faxes, all from a single interface. Regardless of whether the archive contains millions or even billions of messages, ZL's industry-leading search architecture ensures results arrive within seconds.

- **AUDIT TRAILS AND REPORTING**

ZL UA ensures regulatory compliance by logging every action performed within the application, such as reviewer actions, searches, and policy creations and modifications. In addition, ZL provides extensive reporting functionalities, which allows compliance managers to ensure all messages are flagged appropriately and correct reviewer actions are applied.

## The Platform

ZL Compliance Manager integrates these key capabilities within a scalable platform, which runs in all popular operating environments, including NT, Solaris, and Linux. Highlights include industry-leading scalability, open standards support, Java/J2EE, Webservices, WebDAV, and more, support for all major spinning disc WORM storage solutions, and broad integration with leading email clients and web browsers.

## ZL GDPR Compliance

The General Data Protection Regulation (GDPR) is a European data privacy regulation that went into effect in May 2018, which increases protections on EU citizen data. Under GDPR, any company processing EU citizen data must be able to identify both customer and employee data within their file shares, and be able to take action (deletion, transfer, etc.) on that data when necessary. Non-compliance with GDPR can result in substantial fines of up to 20 million EUR or up to 4% of global annual turnover.

Under GDPR, the ability to find and manage personal data across the enterprise is the best defense for companies looking to avoid unprecedented sanctions. However, the sheer volume of dark data in enterprise systems can make this nearly impossible without the right technology. ZL accordingly provides metadata analysis, accelerated analysis, and visualization features to highlight hotspots of personal information in file systems, with content analysis to zoom in further. Once identified, documents can be classified, tracked, and either deleted or managed long-term.